



# THE WORLDECR EXPORT CONTROLS AND SANCTIONS FORUM 2018

# ASIA

**SINGAPORE. NOVEMBER 20**  
**HONG KONG. NOVEMBER 22**

Official sponsors

Miller & Chevalier



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## Welcome



**Tom Blass,**  
**Editor,**  
**WorldECR**

This November, *WorldECR* brings its highly-acclaimed Forum event to Asia for the first time. We'll be in Singapore and Hong Kong (on 20 and 22 November, respectively) with a line-up of speakers and presentations chosen specifically for the needs of regional compliance officers, lawyers and other professionals – at a time when:

The Iran and Russian sanctions regimes promise to impose (renewed) restrictions on Asian companies' trade with those countries

The United States and China continue to be at odds over issues such as technology transfer

The EU and UK are yet to agree the nature of their future trading relationship post-BREXIT

...and new uncertainties generally continue to emerge in geopolitics that directly constrain commercial opportunities.

Our speakers join us from across the European Union, the United States and Asia-Pacific to share the fruits of their experience on a wide range of issues and challenges including, among many:

- US sanctions policy and enforcement
- US export controls compliance for Asian companies
- China's promised export control reform – and the emerging compliance regimes in SE Asia.

This is a first foray for WorldECR, but we're looking forward to meeting with the strong community of current and future WorldECR readers in Asia and being part of a vibrant discussion on critical issues in compliance and business enablement.

### **Getting to know fellow professionals**

During the Forum, there will be ample opportunities to make new contacts with fellow professionals, including coffee breaks and lunch.

### **Simultaneous interpretation: English into Chinese**

The Hong Kong event will offer a simultaneous translation for Chinese delegates.

I look forward to meeting you in November.

Tom Blass

Editor, WorldECR

## **Forum Programme: Speakers and sessions:**

**SINGAPORE**

2

**HONG KONG**

7



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**SINGAPORE. NOVEMBER 20**  
Shangri-La Hotel, Orange Grove Road

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### **Developments in US sanctions policy: Barbara Linney, Miller & Chevalier**

An understanding of American unilateral sanctions against Iran, Russia, and North Korea, including the enhanced secondary sanctions provisions of CAATSA – all of which create potential exposures for both US and non-US companies – has become a prerequisite for international business.

This session by Miller & Chevalier member Barbara Linney explains the fundamentals of US sanctions policy, the impact for non-US businesses of US withdrawal from the JCPOA (‘the Iran nuclear deal’), the ramping up of sanctions against Russia, and the need for enhanced due diligence, not least (but not only) around transactions involving North Korea.



### **South East Asia Export Controls – Coming of Age: George Tan, Global Trade Security Consulting**

With Thailand and the Philippines leading the way, South East Asian countries are moving toward the implementation of export control regimes. An understanding of these nascent regimes is now critical to an informed corporate and compliance strategy for companies manufacturing in, distributing to, and sourcing parts and components from the broader Asian region.

The presentation will look at the main elements of the new regimes, control lists, enforcement priorities – and how the whole should be reflected in a regional compliance plan.



### **A new dynamic between investment and export control: Dr Scott Jones, TradeSecure**

The Committee on Foreign Investment in the United States (CFIUS) – which has recently been made more stringent by the Foreign Investment Risk Review Modernization Act (FIRRMA) – is realising its potential to tighten the flow of FDI into the US on national security grounds. Other regimes are also implementing similar or equivalent controls.

In this session, Dr. Scott Jones will canvass some of the dominant technology and regulatory developments increasingly affecting both traders and investors from a national security perspective.



### **Change afoot in EU and UK – why it matters to Asian companies: Richard Tauwhare, Dechert**

For the past few years, the European Union has been fine-tuning a regulation which, when implemented, will have far-reaching implications for the export of dual-use products from the EU – especially where technology is concerned. The EU has also introduced a ‘CFIUS-like’ mechanism to screen foreign investment to protect public infrastructure, technology and media independence.

Meanwhile, in the UK, new sanctions legislation is in place in preparation for departure from the European Union. With the potential to alter the trading strategies and relationships with companies doing business with EU partners or within the EU, each of these developments is noteworthy.

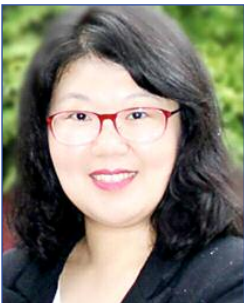
Richard Tauwhare, a former senior UK government diplomat, will explore each.



### **Complying with US export controls: Barbara Linney, Miller & Chevalier Chartered**

Export controls work in tandem with sanctions as key elements of US strategic trade policy and regulation. And what has always been an area with hidden complexities remains so.

This presentation explains the nuts and bolts of the US export control regime as it impacts Asian companies and subsidiaries, including the essentials of ‘commodity jurisdiction’ and classification, reexporting products using US parts and components, and *de minimis* calculations under both export control and sanctions rules.



### **Aiming for a world-class compliance program – Continuous learning and improving: Rose Hao and Jichao Lin, Huawei**

In this session, senior members of Huawei’s export control and sanctions compliance department, Rose Hao, Chief Export Control Expert, and Jichao Lin, Head of Financial Compliance Office, talk through how a multinational telecommunication company has adopted best-in-practice approaches to compliance, including: engaging international consultants, corporate structuring, using technology and practice in training and awareness – and describe how compliance can be both a business generator as well as a safeguard against national and other security threats.





### **Chinese export control reform and its impact on regional supply chains: Johnny Xie, Questoud**

In 2016, China's Ministry of Commerce (MOFCOM) published a new law which when implemented promises a major shake-up of the country's export control regime. In addition to introducing new lists (including the category 'Items Affecting the National Security of China') the law also includes definitions such as 'deemed export' and 're-export', which appear to take a leaf out of the US book.

Johnny Xie has an intimate understanding of China's trade and customs regime: we are delighted that he will be present at the Forum to shine a spotlight on the forthcoming changes, and their consequences for regional supply chains.



### **Australian export controls and sanctions: Alistair Bridges, Moulis Legal**

Australia's export control and sanctions regimes are amongst the most stringent in the region, and closely modelled on (though not identical to) US regulation.

Given the importance of Australia in the context of the Asia Pacific economy and supply chains, Alistair's expertise should be much valued by delegates.

# THE WORLDECR ASIA FORUM

20 November 2018

Shangri-La Hotel, Orange Grove Road, Singapore

## REGISTRATION FORM

Please register the following delegate(s) for The WorldECR Asia Forum 2018, Singapore

Delegate 1	Delegate 2
NAME	NAME
POSITION	POSITION
	Email
Organisation	
Address	Delegate 3
Address	NAME
City	POSITION
Post/Zipcode	Email
Country	
Telephone	Delegate 4
Email	NAME
	POSITION
	Email

### FEE PER 1ST DELEGATE

DISCOUNTED FEE FOR DELEGATES FROM INDUSTRY/GOVERNMENT = SGD 695

FEE FOR DELEGATES FROM LAW FIRMS/PROFESSIONAL CONSULTANCY = SGD 795

### FEE PER ADDITIONAL DELEGATE

DISCOUNTED FEE FOR ADDITIONAL DELEGATES FROM INDUSTRY/GOVERNMENT = SGD 625

FEE FOR ADDITIONAL DELEGATES FROM LAW FIRMS/PROFESSIONAL CONSULTANCY = SGD 715

## HOW TO PAY\*

- I will pay by credit/debit card: please contact me with details on how to pay
- Please invoice me
- Please send me details regarding special rates for booking rooms at the conference hotel

Signed

Date

Please email your completed registration form to  
[mark.cusick@worldecr.com](mailto:mark.cusick@worldecr.com)

### Terms and conditions

Please note, by registering for this event you accept the terms and conditions below.

### Registration Fee

Your fee includes the attendance at the one-day conference; morning, mid-morning and afternoon coffee and pastries/snacks, and lunch; programme materials.

### Registration policy

Delegates may not 'share' a registration without the organiser's authorisation.

### Payment policy

Payment must be received in full by the conference date. 'Additional delegate' prices are only available to delegates from the same organisation as the original full-fee delegate.

### Cancellations and Refunds

You must notify the conference organiser 48 hours before the conference if you wish to change the delegate.

If you wish to cancel your registration, you can do so incurring the following charges:  
Cancellation more than 14 days before the event – full refund less 33% admin fee.  
Cancellation between 13 and 6 days before the event – full refund less 50% admin fee.  
Cancellation between 5 days before and the day of the event – no refund.

### Change of venue

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### Change of speaker and presentation

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*With simultaneous interpretation into Chinese*



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In this session, Dr. Scott Jones will canvass some of the dominant technology and regulatory developments increasingly affecting both traders and investors from a national security perspective.



### **US export controls – practice trends and regulatory change: Jon Cowley, Baker McKenzie**

Export controls now work in tandem with sanctions as key elements of US strategic trade policy and regulation. And what has always been an area with hidden complexities remains so.

This presentation explains the nuts and bolts of the US export control regime, including its interface with regional export control regimes and its impact on Asian companies and subsidiaries. It will also explore best practices for complying with these complex rules.



### **Aiming for a world-class compliance program – Continuous learning and improving: Rose Hao and Jichao Lin, Huawei**

In this session, senior members of Huawei's export control and sanctions compliance department, Rose Hao, Chief Export Control Expert, and Jichao Lin, Head of Financial Compliance Office, talk through how a multinational telecommunication company has adopted best-in-practice approaches to compliance, including: engaging international consultants, corporate structuring, using technology and practice in training and awareness – and describe how compliance can be both a business generator as well as a safeguard against national and other security threats.





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# THE WORLDECR ASIA FORUM

## 22 November 2018

### InterContinental Grand Stanford Hotel, Hong Kong

#### REGISTRATION FORM

Please register the following delegate(s) for The WorldECR Asia Forum 2018, Hong Kong

<b>Delegate 1</b>	<b>Delegate 2</b>
NAME	NAME
POSITION	POSITION
	Email
Organisation	
Address	<b>Delegate 3</b>
Address	NAME
City	POSITION
Post/Zipcode	Email
Country	
Telephone	<b>Delegate 4</b>
Email	NAME
	POSITION
	Email

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FEE FOR DELEGATES FROM LAW FIRMS/PROFESSIONAL CONSULTANCY = HKD 4700

#### FEE PER ADDITIONAL DELEGATE

DISCOUNTED FEE FOR ADDITIONAL DELEGATES FROM INDUSTRY/GOVERNMENT = HKD 3730

FEE FOR ADDITIONAL DELEGATES FROM LAW FIRMS/PROFESSIONAL CONSULTANCY = HKD 4230

#### HOW TO PAY

- I will pay by credit/debit card: please contact me with details on how to pay
- Please invoice me
- Please send me details regarding special rates for booking rooms at the conference hotel
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Signed

Date

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## Past events: The WorldECR Forum in London

